

## Official Giveaway Rules

**NO PURCHASE NECESSARY. A PURCHASE OR PAYMENT OF ANY KIND WILL NOT INCREASE YOUR CHANCES OF WINNING. ALL ENTRIES MUST BE RECEIVED BY 11:59 P.M. CST ON July 31, 2021. VOID WHERE PROHIBITED BY LAW.**

**1. Eligibility:** Entrant Participation is open only to individual legal residents of the State of Iowa who are at least 18 years of age as of the date of entry, and have a Social Security number or a U.S. taxpayer identification number, an address that is not a P.O. Box, and a child attending a Daycare (defined herein) (the "Entrant"). Daycare participation is open only to Iowa daycares that have registered with College Savings Iowa to participate in the Giveaway (defined herein) (the "Daycare"). The Entrant will designate an individual under the age of 18 with a Social Security number or U.S. taxpayer identification number (the "Beneficiary") and will designate the Daycare such Beneficiary attends per Beneficiary. Void outside of the State of Iowa, and where prohibited or restricted by local law. Employees, officers and directors and their immediate families (parents, children, siblings, and spouse) and members of their household (whether or not related) of the Iowa Educational Savings Plan Trust (the "Sponsor"), State of Iowa Treasurer's Office, Ascensus Investments, Inc., The Vanguard Group Inc., Vanguard Marketing Corporation, and of any FINRA member are not eligible to participate in the Giveaway (defined herein).

**2. How to Enter:** The Entrant must complete the official online entry form available on the College Savings Iowa 529 Plan giveaway website ([www.iowa529contest.com](http://www.iowa529contest.com)). ALL ENTRIES MUST BE RECEIVED BY 7/31/2021 AT 11:59 p.m., CENTRAL TIME. Limit: One (1) entry per person per Beneficiary, provided that an Entrant will be entered a second time for each Beneficiary and Daycare by "liking" College Savings Iowa on Facebook when they complete the official entry form as provided on the form. The Sponsor is not responsible for lost, late, or corrupted entries, whether due to technical or computer malfunctions or otherwise.

**3. Random Giveaway/Odds:** One (1) Entrant from each of five (5) regions of Iowa established by College Savings Iowa based upon location of the Entrant's Daycare (the "Regions") will be selected on or about July 1, 2021 in a random drawing (the "Giveaway") from among all eligible entries received by the Sponsor (the "CSI Winner") and the Daycare associated with the CSI Winner will also be identified at the Giveaway (the "Daycare Winner"). Odds of winning the Prizes depend upon the number of eligible entries received.

**4. Prize:** Subject to these rules, each CSI Winner will receive five hundred twenty-nine U.S. dollars (\$529) in the form of a newly established College Savings Iowa ("CSI") 529 account or through the deposit of five hundred twenty-nine U.S. dollars (\$529) in an existing CSI account, (the "CSI Prize"). Each Daycare Winner will receive two hundred U.S. dollars (\$200) in the form of a gift card (the "Daycare Prize"). The CSI Prize and the Daycare Prize are sometimes referred to herein together as the "Prizes". The CSI accounts will be owned by and established in the name of the CSI Winners as the Participants on the accounts (the "Participants") and the Participants will be responsible for investing the accounts in accordance with the rules of CSI and investment expenses and losses may result. Information with respect to ownership of an account can be found in the CSI Program Description at [www.collegesavingsiowa.com](http://www.collegesavingsiowa.com). The CSI Winners and the Beneficiaries are subject to eligibility verification by the Sponsor, whose decisions are final and binding in all matters related to the Giveaway. A CSI Winner is not a winner of the CSI Prize unless and until the CSI Winner's and Beneficiary's eligibility have been verified and the CSI Winner has been notified that verification is complete. The CSI Prize is non-transferable although a CSI account may be transferred in accordance with CSI rules. The Sponsor is responsible only for delivery of the CSI Prize and is not responsible for CSI Prize utility or otherwise. The Giveaway does not take into account a CSI Winner's personal circumstances or other factors that may be important in making investment decisions.

Investment returns are not guaranteed and the CSI Winners could lose money by investing in the CSI account. The CSI Winners should consult their own tax advisor about tax implications, if any, associated with the CSI Prize and withdrawals from the CSI account. The CSI Prize will be considered income, and any and all taxes associated with the CSI Prize are the responsibility of the CSI Winners. All rules of CSI shall apply to the account. The accounts must be established within six months of the date the CSI Winners receive notice of winning. The Daycare Prize will be mailed to the Daycare Winners upon the award of the CSI Prize to the CSI Winner associated with the Daycare Winner as described in paragraph 5 below. The Daycare Winners should consult their own tax advisor about tax implications, if any, associated with the Daycare Prize. The Daycare Prize will be considered income, and any and all taxes associated with the Daycare Prize are the responsibility of the Daycare Winners.

**5. Notification:** After the drawing, the Sponsor will verify the eligibility of the CSI Winners, Beneficiaries and Daycare Winners. If eligibility is verified, the CSI Winners and Daycare Winners will be notified by telephone/email within two (2) weeks following the date of the Giveaway and will subsequently receive a confirmation letter from the Sponsor. Unless a CSI Winner already has a CSI account, the CSI Winners will be required to complete documentation necessary to open an account, including an enrollment form with investment instructions. If a CSI Winner already has a CSI account, the CSI Winners will need to provide the Sponsor with the account number. The CSI Prize will only be deposited once the required documentation is completed and a CSI account is opened. The CSI Prize may be awarded to an alternate CSI Winner (selected in a random drawing from among all remaining eligible Entrants from the original CSI Winner's associated Region) if (i) the CSI Winner and/or Beneficiary is not eligible; (ii) three (3) good faith attempts to contact the CSI Winner via phone and/or email over the course of 14 days have been unsuccessful; (iii) the CSI Prize confirmation letter is returned as undeliverable; or (iv) the CSI account documentation is not returned in good order within six (6) months after the Entrant receives notice of winning. In the event an alternate CSI Winner is selected, an alternate Daycare Winner associated with the alternate CSI Winner will also be selected. Only one CSI Prize and one Daycare Prize will be awarded per Region. In the event that production, technical, seeding, programming or any other reasons cause more than the stated number of Prizes as set forth in these rules to be available and/or claimed, the Sponsor reserves the right to award only the stated number of Prizes by a random drawing among all legitimate, un-awarded, eligible Prize claims.

**6. Miscellaneous and Conditions of Participation:** This Giveaway is void where prohibited or restricted by law, and is subject to all applicable federal, state, and local laws and regulations. Taxes and fees, if any, are the sole responsibility of the CSI Winners and the Daycare Winners. By entering the Giveaway, Entrants fully and unconditionally agree to be bound by these rules and the decisions of the Sponsor, which will be final and binding on all matters relating to this Giveaway, and each Entrant warrants that (s)he is eligible to participate in this Giveaway. By registering with CSI for the Giveaway, Daycares fully and unconditionally agree to be bound by these rules and the decisions of the Sponsor, which will be final and binding on all matters relating to this Giveaway, and each Daycare warrants that it is eligible to participate in this Giveaway. The Sponsor reserves the right to disqualify any Entrant or Daycare if these Giveaway rules are not followed, and to change, alter, or amend these Giveaway rules or to alter, modify, suspend, or terminate the Giveaway, as necessary, in its sole discretion, to ensure the fair administration of the Giveaway or to comply with applicable law. The Giveaway is not a recommendation to invest in a CSI 529 Plan account.

**7. Release:** Each Entrant and Daycare agrees, except where prohibited by law, to release and discharge, hold harmless and indemnify the Sponsor, its trustee, employees, agents and representatives, officers and directors and their immediate families, successors and assigns, and all others associated with the development and execution of this Giveaway, from any and all tax liability that may be imposed on or associated with receipt or use of the Prize, and from and against

any and all claims, actions, proceedings, and liability for any damages, expenses, fees, injury or losses sustained in connection with the receipt, ownership, use or misuse of the Prize or while traveling to, preparing for, or participating in any Giveaway-related activity.

**8. Publicity:** Except where prohibited by law, by entering or registering to participate in the Giveaway and by winning, each CSI Winner and Daycare Winner grants to the Sponsor the right to publicize such CSI Winner's and Daycare Winner's name, photograph, image, likeness, voice, statements, and biographical information in all manner and media, worldwide, in perpetuity, for advertising, trade, and promotion purposes without compensation and without opportunity for review.

**9. Limitations of Liability:** The Sponsor, its trustee, employees, agents and representatives, officers and directors and their immediate families, successors and assigns, and all others associated with the development and execution of this Giveaway shall have no responsibility or liability for (i) any incorrect or inaccurate information, whether caused by Entrants or Daycares, printing errors or by any of the equipment or programming associated with or utilized in the Giveaway; (ii) technical failures of any kind, including, but not limited to, malfunctions, interruptions or disconnections in telephone lines or network hardware or software; (iii) unauthorized human intervention in any part of the entry process or the Giveaway; (iv) technical or human error which may occur in the administration of the Giveaway; or (v) failed, incomplete, garbled, jumbled, corrupted or delayed computer transmissions which may limit an individual's ability to enter the Giveaway, including any injury or damage to an Entrant's or a Daycare's or any other person's computer relating to or resulting from entering the Giveaway or downloading any materials related to the Giveaway.

**10. Entrants', Daycares', CSI Winners' and Daycare Winners' Personal Information:** Information collected from Entrants and Daycares will be maintained by the Sponsor and its representatives in accordance with applicable federal and state consumer privacy laws, rules and regulations. Unless an Entrant opts out on the entry form, the Entrant may receive marketing information from CSI.

**11. Copy of Rules/Name of Winner:** For a copy of these rules and/or the CSI Winners' or Daycare Winners' names, send your request and a self-addressed, stamped envelope to the address listed below.

**12. Sponsor:** College Savings Iowa 529 Plan, PO Box 219219, Kansas City, MO 64121-7322.